



Division of Success Trade Securities, Member FINRA | SIPC

**REQUEST OF DISPOSITION OF A  
NON-TRANSFERABLE WORTHLESS SECURITY**

**FORM A – PROCESS AS A JOURNAL**

*Please submit either Form A (page 1) or Form B (page 2) for each position. If you select Form B – Process as a Trade, a commission fee will apply and the transaction will appear on your annual 1099 tax form.*

**I. ACCOUNT INFORMATION**

Account Number: \_\_\_\_\_

Account Title: \_\_\_\_\_

\_\_\_\_\_

**II. SECURITY INFORMATION**

Description: \_\_\_\_\_

CUSIP Number: \_\_\_\_\_

Quantity: \_\_\_\_\_

I am currently the owner of the above security. I am requesting the above position to be removed from my account. I understand that this position may have no value or that the value is less than the cost of transacting a trade.

I confirm that the removal of the position from my account is final and irrevocable, and I am forgoing the possibility of gain in the event this security ever has any future value. I hereby waive any future claim I have concerning these securities.

You may use this letter for your income taxes if it is appropriate for you to do so. Please contact your tax advisor with any questions if using this letter for income tax purposes. Legent Clearing LLC makes no representation about the value, if any, of this security.

Account Holder(s) Signature: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_

Date: \_\_\_\_\_

Correspondent Signature: \_\_\_\_\_

Date: \_\_\_\_\_



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**REQUEST OF DISPOSITION OF A  
NON-TRANSFERABLE WORTHLESS SECURITY**

**FORM B – PROCESS AS A TRADE**

*Please submit either Form A (page 1) or Form B (page 2) for each position. If you select Form B – Process as a Trade, a commission fee will apply and the transaction will appear on your annual 1099 tax form.*

**I. ACCOUNT INFORMATION**

Account Number: \_\_\_\_\_

Account Title: \_\_\_\_\_

\_\_\_\_\_

**II. SECURITY INFORMATION**

Description: \_\_\_\_\_

CUSIP Number: \_\_\_\_\_

Quantity: \_\_\_\_\_

I am currently the owner of the above security. I am requesting the above position to be removed from my account. I understand that this position may have no value or that the value is less than the cost of transacting a trade.

I confirm that the removal of the position from my account is final and irrevocable, and I am forgoing the possibility of gain in the event this security ever has any future value. I hereby waive any future claim I have concerning these securities.

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Account Holder(s) Signature: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_

Date: \_\_\_\_\_

Correspondent Signature: \_\_\_\_\_

Date: \_\_\_\_\_