

## SEC Rule 606 - Order Routing Report

### LowTrades, a division of Success Trade Securities Inc.

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### SEC-Required Report on Routing of Customers Orders

#### For Quarter Ending December 31, 2009

Success Trade Securities Inc. has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange and reported as a Network A eligible security; one for securities listed on the Nasdaq Stock Market; one for securities listed on the American Stock Exchange or regional exchanges and reported as a Network B eligible security; and one for exchange-listed options. For each section, this report identifies the venues most often selected by Success Trade Securities Inc., sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects of Success Trade Securities Inc.'s relationship with the venues.

### Sec Rule 606 Report

#### New York Stock Exchange

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	29.86%
Limit orders as percentage of total non-directed orders	62.89%
Other orders as percentage of total non-directed orders	7.25%

#### Venues Receiving Significant Percentage of Total Non-Directed Orders:

CITIGROUP GLOBAL MARKETS	5.22%
CHICAGO STOCK EXCHANGE	10.43%
DOMESTIC SECURITIES	0.91%
E*TRADE CAPITAL MARKETS	17.28%
HUDSON SECURITIES	0.05%
MURPHY & DURIEU	1.08%
SURGE TRADING	37.56%
UBS CAPITAL MARKETS	27.48%

#### Information Concerning Significant Venues:

##### 1. CITIGROUP GLOBAL MARKETS

##### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	7.52%
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Limit orders as a percentage of total limit orders	4.05%
Other orders as a percentage of total other orders	5.81%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Citigroup Global Markets.

**2. CHICAGO STOCK EXCHANGE**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	0.00%
Limit orders as a percentage of total limit orders	16.59%
Other orders as a percentage of total other orders	0.00%

**Material Aspects of Relationship with Venue:**

Success Trade Securities receives payment for directing order flow to Chicago Stock Exchange (CHX). Payment is based on size of executed order and whether or not the order has added liquidity to the CHX Matching System. Payment for qualifying orders during this period was \$2.90 per thousand shares executed on Tape A & C issues and \$3.00 per thousand shares executed on Tape B issues.

**3. DOMESTIC SECURITIES**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	0.63%
Limit orders as a percentage of total limit orders	1.15%
Other orders as a percentage of total other orders	0.00%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Domestic Securities.

**4. E\*TRADE CAPITAL MARKETS**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	19.50%
Limit orders as a percentage of total limit orders	16.62%
Other orders as a percentage of total other orders	13.87%

**Material Aspects of Relationship with Venue:**

Success Trade Securities receives payment for directing equity order flow to E\*Trade Capital Markets. Payment is based on size of executed order.

**5. HUDSON SECURITIES**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	0.00%
Limit orders as a percentage of total limit orders	0.07%
Other orders as a percentage of total other orders	0.00%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Hudson Securities.

**6. MURPHY & DURIEU**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	0.70%
Limit orders as a percentage of total limit orders	1.38%
Other orders as a percentage of total other orders	0.00%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Murphy & Durieu.

**7. SURGE TRADING****Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	41.11%
Limit orders as a percentage of total limit orders	35.52%
Other orders as a percentage of total other orders	40.65%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed Surge Trading.

**8. UBS CAPITAL MARKETS****Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	30.54%
Limit orders as a percentage of total limit orders	24.62%
Other orders as a percentage of total other orders	39.68%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to UBS Capital Markets.

**Sec Rule 606 Report****NASDAQ Stock Market**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	21.02%
Limit orders as percentage of total non-directed orders	70.26%
Other orders as percentage of total non-directed orders	8.72%

**Venues Receiving Significant Percentage of Total Non-Directed Orders:**

CITIGROUP GLOBAL MARKETS	8.22%
CHICAGO STOCK EXCHANGE	11.84%
DOMESTIC SECURITIES	0.41%
E*TRADE CAPITAL MARKETS	18.21%
HUDSON SECURITIES	0.21%
MURPHY & DURIEU	1.26%
NASDAQ	15.40%
SURGE TRADING	17.53%
UBS CAPITAL MARKETS	26.93%

**Information Concerning Significant Venues:****1. CITIGROUP GLOBAL MARKETS****Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	9.66%
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Limit orders as a percentage of total limit orders	5.65%
Other orders as a percentage of total other orders	25.39%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Citigroup Global Markets.

**2. CHICAGO STOCK EXCHANGE**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	0.00%
Limit orders as a percentage of total limit orders	16.86%
Other orders as a percentage of total other orders	0.00%

**Material Aspects of Relationship with Venue:**

Success Trade Securities receives payment for directing order flow to Chicago Stock Exchange (CHX). Payment is based on size of executed order and whether or not the order has added liquidity to the CHX Matching System. Payment for qualifying orders during this period was \$2.90 per thousand shares executed on Tape A & C issues and \$3.00 per thousand shares executed on Tape B issues.

**3. DOMESTIC SECURITIES**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	0.54%
Limit orders as a percentage of total limit orders	0.32%
Other orders as a percentage of total other orders	0.79%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Domestic Securities.

**4. E\*TRADE CAPITAL MARKETS**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	25.30%
Limit orders as a percentage of total limit orders	16.40%
Other orders as a percentage of total other orders	15.71%

**Material Aspects of Relationship with Venue:**

Success Trade Securities receives payment for directing equity order flow to E\*Trade Capital Markets. Payment is based on size of executed order.

**5. HUDSON SECURITIES**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	0.22%
Limit orders as a percentage of total limit orders	0.19%
Other orders as a percentage of total other orders	0.26%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Hudson Securities.

**6. MURPHY & DURIEU**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	2.28%
Limit orders as a percentage of total limit orders	0.94%
Other orders as a percentage of total other orders	1.31%

### Material Aspects of Relationship with Venue:

[Success Trade Securities does not receive any payment for orders routed to Murphy & Durieu.

## 7. NASDAQ

### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	0.00%
Limit orders as a percentage of total limit orders	21.92%
Other orders as a percentage of total other orders	0.00%

### Material Aspects of Relationship with Venue:

Success Trade Securities receives payment for directing order flow to NASDAQ. Payment is based on size of executed order and whether or not the order has added liquidity to the NASDAQ order book. Payment for qualifying orders during this period was \$2.00 per thousand shares executed.

## 8. SURGE TRADING

### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	29.42%
Limit orders as a percentage of total limit orders	12.83%
Other orders as a percentage of total other orders	26.70%

### Material Aspects of Relationship with Venue:

[Customer supplied information]

## 9. UBS CAPITAL MARKETS

### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	32.57%
Limit orders as a percentage of total limit orders	24.88%
Other orders as a percentage of total other orders	29.84%

### Material Aspects of Relationship with Venue:

Success Trade Securities does not receive any payment for orders routed to UBS Capital Markets.

## Sec Rule 606 Report

### American Stock Exchange

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	9.40%
Limit orders as percentage of total non-directed orders	89.46%
Other orders as percentage of total non-directed orders	1.14%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

CITIGROUP GLOBAL MARKETS	10.81%
CHICAGO STOCK EXCHANGE	4.52%
DOMESTIC SECURITIES	0.98%
E*TRADE CAPITAL MARKETS	27.96%
MURPHY & DURIEU	1.69%
SURGE TRADING	11.17%

UBS CAPITAL MARKETS	42.86%
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**Information Concerning Significant Venues:**

**1. CITIGROUP GLOBAL MARKETS**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	12.55%
Limit orders as a percentage of total limit orders	10.51%
Other orders as a percentage of total other orders	20.69%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Citigroup Global Markets.

**2. CHICAGO STOCK EXCHANGE**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	0.00%
Limit orders as a percentage of total limit orders	5.05%
Other orders as a percentage of total other orders	0.00%

**Material Aspects of Relationship with Venue:**

Success Trade Securities receives payment for directing order flow to Chicago Stock Exchange (CHX). Payment is based on size of executed order and whether or not the order has added liquidity to the CHX Matching System. Payment for qualifying orders during this period was \$2.90 per thousand shares executed on Tape A & C issues and \$3.00 per thousand shares executed on Tape B issues.

**3. DOMESTIC SECURITIES**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	0.42%
Limit orders as a percentage of total limit orders	1.01%
Other orders as a percentage of total other orders	3.45%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Domestic Securities.

**4. E\*TRADE CAPITAL MARKETS**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	32.22%
Limit orders as a percentage of total limit orders	27.38%
Other orders as a percentage of total other orders	37.93%

**Material Aspects of Relationship with Venue:**

Success Trade Securities receives payment for directing equity order flow to E\*Trade Capital Markets. Payment is based on size of executed order.

**5. MURPHY & DURIEU**

**Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	1.26%
Limit orders as a percentage of total limit orders	1.71%
Other orders as a percentage of total other orders	3.45%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Murphy & Durieu.

**6. SURGE TRADING****Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	2.93%
Limit orders as a percentage of total limit orders	12.13%
Other orders as a percentage of total other orders	3.45%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Surge Trading.

**7. UBS CAPITAL MARKETS****Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	50.63%
Limit orders as a percentage of total limit orders	42.20%
Other orders as a percentage of total other orders	31.03%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to UBS Capital Markets.

**Sec Rule 606 Report****Options**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	15.14%
Limit orders as percentage of total non-directed orders	84.86%
Other orders as percentage of total non-directed orders	0.00%

**Venues Receiving Significant Percentage of Total Non-Directed Orders:**

BARCLAYS CAPITAL	97.76%
CITIGROUP GLOBAL MARKETS	2.24%

**Information Concerning Significant Venues:****1. BARCLAYS CAPITAL****Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	97.05%
Limit orders as a percentage of total limit orders	97.89%
Other orders as a percentage of total other orders	0.00%

**Material Aspects of Relationship with Venue:****Material Aspects of Relationship with Venue:**

Success Trade Securities receives payment for directing options orders to Barclays Capital. For more information on a particular order please email us at [info@lowtrades.com](mailto:info@lowtrades.com).

**2. CITIGROUP GLOBAL MARKETS****Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	2.95%
Limit orders as a percentage of total limit orders	2.11%
Other orders as a percentage of total other orders	0.00%

**Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Citigroup Global Markets.