



Please complete this form and return to:  
Success Trade Securities 1900 L Street NW Suite 525 Washington, D.C. 20036; or by fax to 202-466-6895;  
or via e-mail at [info@lowtrades.com](mailto:info@lowtrades.com)

REQUEST FOR DISPOSITION OF A  
NON-TRANSFERABLE WORTHLESS SECURITY

**FORM A – PROCESS AS A JOURNAL**

I. ACCOUNT INFORMATION

Account Number: \_\_\_\_\_

Account Title: \_\_\_\_\_

\_\_\_\_\_

II. SECURITY INFORMATION

Description: \_\_\_\_\_

CUSIP Number: \_\_\_\_\_

Quantity: \_\_\_\_\_

I am currently the owner of the above security. I am requesting the above position be removed from my account. I understand that this position may have no value or that the value is less than the cost of transacting a trade.

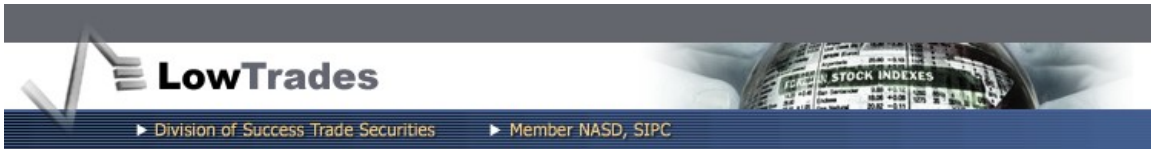
I confirm that the removal of position from my account is final and irrevocable, and I am forgoing the possibility of gain in the event this security ever has any future value. I hereby waive any future claim I have concerning these securities.

You may use this letter for your income taxes if it is appropriate for you to do so. Please contact your tax advisor with any questions if using this letter for income tax purposes. Legent Clearing LLC makes no representation about the value, if any, of this security.

Account Holder(s) Signature: \_\_\_\_\_ Date: \_\_\_\_\_

\_\_\_\_\_ Date: \_\_\_\_\_

Correspondent Signature: \_\_\_\_\_ Date: \_\_\_\_\_



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REQUEST FOR DISPOSITION OF A  
NON-TRANSFERABLE WORTHLESS SECURITY

**FORM B – PROCESS AS A TRADE**

I. ACCOUNT INFORMATION

Account Number: \_\_\_\_\_

Account Title: \_\_\_\_\_

\_\_\_\_\_

II. SECURITY INFORMATION

Description: \_\_\_\_\_

CUSIP Number: \_\_\_\_\_

Quantity: \_\_\_\_\_

I am currently the owner of the above security. I wish to sell the above position for total proceeds of one dollar for the entire position. Please effect my sale, which will generate a confirmation to me. I understand that Legent Clearing LLC will be the buyer in this transaction and that Legent Clearing LLC makes no representation about the value, if any, of this security.

I understand that this position may have no value or that the value is less than the cost of transacting a trade. I confirm that the removal of position from my account is final and irrevocable, and I am forgoing the possibility of gain in the event this security ever has any future value. I hereby waive any future claim I have concerning these securities.

Account Holder(s) Signature: \_\_\_\_\_ Date: \_\_\_\_\_

\_\_\_\_\_ Date: \_\_\_\_\_

Correspondent Signature: \_\_\_\_\_ Date: \_\_\_\_\_